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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

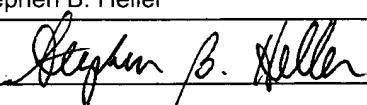
|  |   |
|--|---|
| <b>TRANSMITTAL<br/>FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b><br>09/435,154                 |
|  | <b>Filing Date</b><br>November 8, 1999                  |
|  | <b>First Named Inventor</b><br>Shunpei YAMAZAKI, et al. |
|  | <b>Group Art Unit</b><br>2811                           |
|  | <b>Examiner Name</b><br>Steven Loke                     |
| <b>Total Number of Pages in This Submission</b><br>  | <b>Attorney Docket Number</b><br>SEL 142                |

### ENCLOSURES (check all that apply)

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Reply - Amendment<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input checked="" type="checkbox"/> Extension of Time Request 1-Month<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>- Cited References<br>- Return Postcard |
| <b>Remarks</b>  |   |   |

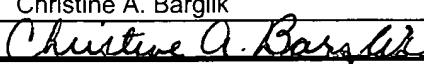
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Stephen B. Heller   |
| Signature               |  |
| Date                    | December 27, 2001   |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: December 27, 2001

|                       |   |                   |
|-----------------------|---|-------------------|
| Typed or printed name | Christine A. Barglik  |                   |
| Signature             |  | Date              |
|                       |   | December 27, 2001 |

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JAN 09 2002

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

982811

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 290.00)

| Complete if Known    |                          |
|----------------------|--------------------------|
| Application Number   | 09/435,154               |
| Filing Date          | November 8, 1999         |
| First Named Inventor | Shunpei YAMAZAKI, et al. |
| Examiner Name        | Steven Loke              |
| Group Art Unit       | 2811                     |
| Attorney Docket No.  | SEL 142                  |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50/1039

Deposit Account Name Cook, Alex, McMarron, Manzo, Cummings &amp; Mehler, Ltd.

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740                    | 201 370                    | Utility filing fee     |          |
| 106 330                    | 206 165                    | Design filing fee      |          |
| 107 510                    | 207 255                    | Plant filing fee       |          |
| 108 740                    | 208 370                    | Reissue filing fee     |          |
| 114 160                    | 214 80                     | Provisional filing fee |          |

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | X 18.00 =      | 0.00     |
| Independent Claims | -3** =       | X 84.00 =      | 0.00     |
| Multiple Dependent |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 84                     | 202 42                     | Independent claims in excess of 3                          |
| 104 280                    | 204 140                    | Multiple dependent claim, if not paid                      |
| 109 84                     | 209 42                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code (\$)        | Small Entity Fee Code (\$) | Fee Description  | Fee Paid                 |
|-----------------------------------|----------------------------|--|--------------------------|
| 105 130                           | 205 65                     | Surcharge - late filing fee or oath  |                          |
| 127 50                            | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |                          |
| 139 130                           | 139 130                    | Non-English specification  |                          |
| 147 2,520                         | 147 2,520                  | For filing a request for <i>ex parte</i> reexamination                     |                          |
| 112 920*                          | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |                          |
| 113 1,840*                        | 113 1,840*                 | Requesting publication of SIR after Examiner action                        | 110.00                   |
| 115 110                           | 215 55                     | Extension for reply within first month                                     |                          |
| 116 400                           | 216 200                    | Extension for reply within second month                                    |                          |
| 117 920                           | 217 460                    | Extension for reply within third month                                     |                          |
| 118 1,440                         | 218 720                    | Extension for reply within fourth month                                    |                          |
| 128 1,960                         | 228 980                    | Extension for reply within fifth month                                     |                          |
| 119 320                           | 219 160                    | Notice of Appeal   |                          |
| 120 320                           | 220 160                    | Filing a brief in support of an appeal                                     |                          |
| 121 280                           | 221 140                    | Request for oral hearing   |                          |
| 138 1,510                         | 138 1,510                  | Petition to institute a public use proceeding                              |                          |
| 140 110                           | 240 55                     | Petition to revive - unavoidable   |                          |
| 141 1,280                         | 241 640                    | Petition to revive - unintentional   |                          |
| 142 1,280                         | 242 640                    | Utility issue fee (or reissue)   |                          |
| 143 460                           | 243 230                    | Design issue fee   |                          |
| 144 620                           | 244 310                    | Plant issue fee  |                          |
| 122 130                           | 122 130                    | Petitions to the Commissioner  |                          |
| 123 50                            | 123 50                     | Processing fee under 37 CFR 1.17(a)  |                          |
| 126 180                           | 126 180                    | Submission of Information Disclosure Stmt                                  | 180.00                   |
| 581 40                            | 581 40                     | Recording each patent assignment per property (times number of properties) |                          |
| 146 740                           | 246 370                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |                          |
| 149 740                           | 249 370                    | For each additional invention to be examined (37 CFR § 1.129(b))           |                          |
| 179 740                           | 279 370                    | Request for Continued Examination (RCE)                                    |                          |
| 169 900                           | 169 900                    | Request for expedited examination of a design application                  |                          |
| Other fee (specify) _____         |                            |  |                          |
| *Reduced by Basic Filing Fee Paid |                            |  | SUBTOTAL (3) (\$ 290.00) |

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| SUBMITTED BY      |                          | Complete (if applicable)          |        |                          |
|-------------------|--------------------------|-----------------------------------|--------|--------------------------|
| Name (Print/Type) | Stephen B. Heller        | Registration No. (Attorney/Agent) | 30,181 | Telephone (312) 236-8500 |
| Signature         | <i>Stephen B. Heller</i> |                                   |        | Date December 27, 2001   |

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